#### EE309 Advanced Programming Techniques for EE

#### Lecture 17: Classical cryptography INSU YUN (윤인수)

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[Slides from Introduction to Cryptography -- MATH/CMSC 456 at UMD]

# Cryptography (historically)

"...the art of writing or solving codes..."

 Historically, cryptography focused exclusively on ensuring *private communication* between two parties sharing secret information in advance using "codes" (aka *private-key encryption*)

# Modern cryptography

- Much broader scope!
  - Data integrity, authentication, protocols, ...
  - The *public-key setting*
  - Group communication
  - More-complicated trust models
  - Foundations (e.g., number theory, quantumresistance) to systems (e.g., electronic voting, blockchain, cryptocurrencies)

### Modern cryptography

Design, analysis, and implementation of **mathematical techniques** for securing information, systems, and distributed computations against adversarial attack

# Cryptography (historically)

"...the art of writing or solving codes..."

- Historically, cryptography was an *art* 
  - Heuristic, unprincipled design and analysis
  - Schemes proposed, broken, repeat...

# Modern cryptography

- Cryptography is now much more of a *science* 
  - Rigorous analysis, firm foundations, deeper understanding, rich theory
- The "crypto mindset" has permeated other areas of computer security
  - Threat modeling
  - Proofs of security

## Rough course outline

	Secrecy	Integrity				
Private-key setting	Private-key encryption	Message authentication codes				
Public-key setting	Public-key encryption	Digital signatures				

- Building blocks
  - Pseudorandom (number) generators
  - Pseudorandom functions/block ciphers
  - Hash functions
  - Number theory

# **Classical Cryptography**

## Motivation

- Allows us to "ease into things...," introduce notation
- Shows why unprincipled approaches are dangerous
- Illustrates why things are more difficult than they may appear

# Classical cryptography

• Until the 1970s, exclusively concerned with ensuring *secrecy* of communication

• I.e., encryption

# Classical cryptography

 Until the 1970s, relied exclusively on secret information (a *key*) shared in advance between the communicating parties

#### Private-key cryptography

aka secret-key / shared-key / symmetric-key
 cryptography

#### Private-key encryption



## Private-key encryption

- A *private-key encryption scheme* is defined by a message space *M* and algorithms (Gen, Enc, Dec):
  - Gen (key-generation algorithm): outputs k  $\in\!\boldsymbol{\mathcal{K}}$
  - Enc (encryption algorithm): takes key k and message  $m \in \mathcal{M}$  as input; outputs ciphertext c
- ← Ènc<sub>k</sub>(m) — Dec (decryption algorithm): takes key k and ciphertext c as input; outputs m or "error"

For all  $m \in \mathcal{M}_a$  and k output by Gen,  $Dec_k(Enc_k(m)) = m$ 

# Kerckhoffs's principle

- *The encryption scheme* is not secret
  - The attacker knows the encryption scheme
  - The only secret is the key
  - The key must be chosen at random; kept secret
- Arguments in favor of this principle
  - Easier to keep *key* secret than *algorithm*
  - Easier to change key than to change algorithm
  - Standardization
    - Ease of deployment
    - Public scrutiny

# The shift cipher

- Consider encrypting English text
- Associate 'a' with 0; 'b' with 1; ...; 'z' with 25
- $k \in \mathcal{K} = \{0, ..., 25\}$
- To encrypt using key k, shift every letter of the plaintext by k positions (with wraparound)
- Decry

helloworldz <u>ccccccccc</u> jgnnqyqtnfb

## Modular arithmetic

- x = y mod N if and only if N divides x-y
- [x mod N] = the remainder when x is divided by N
   I.e., the unique value y∈{0, ..., N-1} such that x = y mod N
- 25 = 35 mod 10
- 25 ≠ [35 mod 10]
- 5 = [35 mod 10]

# The shift cipher, formally

- $\mathcal{M} = \{ \text{strings over lowercase English alphabet} \}$
- Gen: choose uniform  $k \in \{0, ..., 25\}$
- Enc<sub>k</sub>(m<sub>1</sub>...m<sub>t</sub>): output c<sub>1</sub>...c<sub>t</sub>, where
   c<sub>i</sub> := [m<sub>i</sub> + k mod 26]
- Dec<sub>k</sub>(c<sub>1</sub>...c<sub>t</sub>): output m<sub>1</sub>...m<sub>t</sub>, where m<sub>i</sub> := [c<sub>i</sub> - k mod 26]
- Can verify that correctness holds...

# Is the shift cipher secure?

- No -- only 26 possible keys!
  - Given a ciphertext, try decrypting with every possible key
  - Only one possibility will "make sense"
- Example of a "brute-force" or "exhaustivesearch" attack

# Is the shift cipher secure?

- No -- only 26 possible keys!
  - Given a ciphertext, try decrypting with every possible key
  - Only one possibility will "make sense"
  - (What assumptions are we making here?)
- Example of a "brute-force" or "exhaustivesearch" attack

# Example

- Ciphertext uryybjbeyq
- Try every possible key...
  - tqxxaiadxp
  - spwwzhzcwo
  - ...
  - -helloworld

## Byte-wise shift cipher

• Work with an alphabet of *bytes* rather than (English, lowercase) *letters* 

– Works natively for arbitrary data!

- Use XOR instead of modular addition
  - Essential properties still hold

# ASCII

• Characters (often) represented in ASCII

— 1 byte/char = 2 hex digits/char

Hex	Dec	Char		Hex	Dec	Char	Hex	Dec	Char	Hex	Dec	Char
0x00	0	NULL	null	0x20	32	Space	0x40	64	6	0x60	96	1
$0 \ge 0 \ge 1$	1	SOH	Start of heading	0x21	33	1	0x41	65	А	0x61	97	a
0x02	2	STX	Start of text	0x22	34	<u></u>	0x42	66	в	0x62	98	b
0x03	3	ETX	End of text	0x23	35	#	0x43	67	С	0x63	99	С
0x04	4	EOT	End of transmission	0x24	36	\$	0x44	68	D	0x64	100	d
0x05	5	ENQ	Enquiry	0x25	37	00	0x45	69	Е	0x65	101	е
0x06	6	ACK	Acknowledge	0x26	38	&	0x46	70	F	0x66	102	f
$0 \ge 07$	7	BELL	Bell	0x27	39	•	0x47	71	G	0x67	103	g
0x08	8	BS	Backspace	0x28	40	(	0x48	72	H	0x68	104	h
0x09	9	TAB	Horizontal tab	0x29	41	)	0x49	73	I	0x69	105	i
0x0A	10	$\mathbf{LF}$	New line	0x2A	42	*	0x4A	74	J	0x6A	106	j
$0 \ge 0 \ge 0$	11	VT	Vertical tab	0x2B	43	+	0x4B	75	K	0x6B	107	k
$0 \times 0 C$	12	$\mathbf{FF}$	Form Feed	0x2C	44	7	0x4C	76	L	0x6C	108	1
$0 \times 0 D$	13	CR	Carriage return	0x2D	45	-	0x4D	77	М	0x6D	109	m
0x0E	14	SO	Shift out	0x2E	46		0x4E	78	N	0x6E	110	n
0x0F	15	SI	Shift in	0x2F	47	/	0x4F	79	0	0x6F	111	0
0x10	16	DLE	Data link escape	0x30	48	0	0x50	80	P	0x70	112	р
0x11	17	DC1	Device control 1	0x31	49	1	0x51	81	Q	0x71	113	q
0x12	18	DC2	Device control 2	0x32	50	2	0x52	82	R	0x72	114	r
0x13	19	DC3	Device control 3	0x33	51	3	0x53	83	S	0x73	115	S
0x14	20	DC4	Device control 4	0x34	52	4	0x54	84	т	0x74	116	t
0x15	21	NAK	Negative ack	0x35	53	5	0x55	85	U	0x75	117	u
0x16	22	SYN	Synchronous idle	0x36	54	6	0x56	86	v	0x76	118	v
0x17	23	ETB	End transmission block	0x37	55	7	0x57	87	W	0x77	119	W
0x18	24	CAN	Cancel	0x38	56	8	0x58	88	Х	0x78	120	x
0x19	25	EM	End of medium	0x39	57	9	0x59	89	Y	0x79	121	У
0x1A	26	SUB	Substitute	0x3A	58	:	0x5A	90	Z	0x7A	122	Z
0x1B	27	FSC	Escape	0x3B	59	;	0x5B	91	[	0x7B	123	{
0x1C	28	FS	File separator	0x3C	60	<	0x5C	92	N	0x7C	124	
0x1D	29	GS	Group separator	0x3D	61		0x5D	93	]	0x7D	125	}
0x1E	30	RS	Record separator	0x3E	62	>	0x5E	94	^	0x7E	126	0-11
0x1F	31	US	Unit separator	0x3F	63	?	0x5F	95	_	0x7F	127	DEL

Source: http://benborowiec.com/2011/07/23/better-ascii-table/

## Byte-wise shift cipher

- $\mathcal{M} = \{ \text{strings of bytes} \}$
- Gen: choose uniform k∈K = {0x00, ..., 0xFF}
   256 possible keys
- $Enc_k(m_1...m_t)$ : output  $c_1...c_t$ , where  $c_i := m_i \oplus k$
- $Dec_k(c_1...c_t)$ : output  $m_1...m_t$ , where  $m_i := c_i \oplus k$
- Verify that correctness holds...

## Is this scheme secure?

- No -- only 256 possible keys!
  - Given a ciphertext, try decrypting with every possible key
  - If ciphertext is long enough, only one plaintext will "make sense"

# The Vigenère cipher

- The key is now a *string*, not just a character
- To encrypt, shift each character in the plaintext by the amount dictated by the next character of the key

– Wrap around in the key as needed

• Decryption just reverses the process

tellhimaboutme cafecafecafeca veqpjiredozxoe

# The Vigenère cipher

- Size of key space?
  - If keys are 14-character strings over the English alphabet, then key space has size  $26^{14} \approx 2^{66}$
  - If variable length keys, even more...
  - Brute-force search infeasible
- Is the Vigenère cipher secure?
- (Believed secure for many years...)

# Attacking the Vigenère cipher

- (Assume a 14-character key)
- Observation: every 14<sup>th</sup> character is "encrypted" using the same shift

 Looki (almost) inclosking at cipher
 veqpjiredozxoeualpcmsdjqu iqndnossoscdcusoakjqmxpqr
 hyycjqoqqodhjcciowieii
 encrypted with the shift cipher

- Though a direct brute-force attack doesn't work...
- Why not?

#### Using plaintext letter frequencies



# Attacking the Vigenère cipher

 Look at every 14<sup>th</sup> character of the ciphertext, starting with the first

- Call this a "stream"

- Let  $\boldsymbol{\alpha}$  be the most common character appearing in this stream
- Most likely,  $\alpha$  corresponds to the most common plaintext character (i.e., 'e')

– Guess that the first character of the key is  $\alpha$  - 'e'

- Repeat for all other positions
- Better attacks for Vigenère cipher exist, but do not discuss this in our lecture

#### So far...

 "Heuristic" constructions; construct, break, repeat, ...

• Can we *prove* that some encryption scheme is secure?

• First need to *define* what we mean by "secure" in the first place...

# Modern cryptography

• In the late '70s and early '80s, cryptography began to develop into more of a *science* 

 Based on three principles that underpin most crypto work today

# Core principles of modern crypto

- Formal definitions
  - Precise, mathematical model and definition of what security means
- Assumptions
  - Clearly stated and unambiguous
- Proofs of security
  - Move away from design-break-patch

### Importance of definitions

 Definitions are *essential* for the design, analysis, and sound usage of crypto

## Importance of definitions -- design

- Developing a precise definition forces the designer to think about what they really want
  - What is essential and (sometimes more important) what is not
    - Often reveals subtleties of the problem

#### Importance of definitions -- design

If you don't understand what you want to achieve, how can you possibly know when (or if) you have achieved it?

#### Importance of definitions -- analysis

- Definitions enable meaningful analysis, evaluation, and comparison of schemes
  - Does a scheme satisfy the definition?
  - What definition does it satisfy?
    - Note: there may be multiple meaningful definitions!
    - One scheme may be less efficient than another, yet satisfy a stronger security definition

#### Importance of definitions -- usage

- Definitions allow others to understand the security guarantees provided by a scheme
- Enables schemes to be used as *components* of a larger system (modularity)
- Enables one scheme to be substituted for another if they satisfy the same definition

## Assumptions

- With few exceptions, cryptography currently requires *computational assumptions* 
  - At least until we prove P ≠ NP (and even that would not be enough)
- Principle: any such assumptions should be made explicit

### Importance of clear assumptions

- Allow researchers to (attempt to) validate assumptions by studying them
- Allow meaningful *comparison* between schemes based on different assumptions
  - Useful to understand minimal assumptions needed
- Practical implications if assumptions are wrong

• Enable proofs of security

# Proofs of security

- Provide a rigorous proof that a construction satisfies a given definition under certain specified assumptions
  - Provides an iron-clad guarantee (relative to your definition and assumptions!)
- Proofs are crucial in cryptography, where there is a malicious attacker trying to "break" the scheme

## Limitations?

• Cryptography remains partly an *art* as well

- Given a proof of security based on some assumption, we still need to *instantiate* the assumption
  - Validity of various assumptions is an active area of research

# Limitations?

- Proofs given an iron-clad guarantee of security

   ...relative to the definition and the assumptions!
- Provably secure schemes can be broken!
  - If the definition does not correspond to the real-world threat model
    - I.e., if attacker can go "outside the security model"
    - This happens a lot in practice
  - If the assumption is invalid
  - If the implementation is flawed
    - This happens a lot in practice

#### Nevertheless...

- This does not detract from the importance of having formal definitions in place
- This does not detract from the importance of proofs of security